

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO.: 09-21977-CIV-MCALILEY

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

ALBERTO PEREZ and SEBASTIAN DE LA MAZA,

Defendants.

VERDICT FORM

We, the jury, answer the following questions:

1. Do you, the jury, unanimously find that the Plaintiff, the United States Securities and Exchange Commission, has proven, by a preponderance of the evidence, each and every element of its Rule 10b-5 claim against Dr. Sebastian De La Maza?

Yes _____

No _____

2. Do you, the jury, unanimously find that the Plaintiff, the United States Securities and Exchange Commission, has proven, by a preponderance of the evidence, each and every element of its Rule 10b-5 claim against Alberto Perez?

Yes _____

No _____

June 13, 2011

Foreperson